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09/178887

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY PATENT  
APPLICATION TRANSMITTAL LETTER

Box PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed for filing is the utility patent application of Yoshinori SUGAHARA for PRINTING SYSTEM.

Also enclosed are:

7 sheet(s) of [ ] formal  informal drawing(s);

a claim for foreign priority under 35 U.S.C. §§ 119 and/or 365 is  hereby made to 09-300181 filed in JAPAN on October 31, 1997;  
[ ] in the declaration;

[ ] a certified copy of the priority document;

[ ] a Constructive Petition for Extensions of Time;

[ ] \_\_\_\_\_ statement(s) claiming small entity status;

[ ] an Assignment document;

[ ] an Information Disclosure Statement; and

Other: Unexecuted Declaration

The declaration of the inventor(s) [ ] also is enclosed [ ] will follow.

[ ] Please amend the specification by inserting before the first line the sentence --This application claims priority under 35 U.S.C. §§119 and/or 365 to \_\_\_\_\_ filed in \_\_\_\_\_ on \_\_\_\_\_; the entire content of which is hereby incorporated by reference.--

The filing fee has been calculated as follows [ ] and in accordance with the enclosed preliminary amendment:

CLAIMS					
	NO. OF CLAIMS		EXTRA CLAIMS	RATE	FEE
Basic Application Fee					\$790.00
Total Claims	21	MINUS 20 =	1	x \$22.00	22.00
Independent Claims	2	MINUS 3 =	0	x \$82.00	0
If multiple dependent claims are presented, add \$270.00					
Total Application Fee					812.00
If verified Statement claiming small entity status is enclosed, subtract 50% of Total Application Fee					
Add Assignment Recording Fee of \$40.00 if Assignment document is enclosed					
<b>TOTAL APPLICATION FEE DUE</b>					<b>812.00</b>

A check in the amount of \$ 812.00 is enclosed for the fee due.

Charge \$ \_\_\_\_\_ to Deposit Account No. 02-4800 for the fee due.

Please address all correspondence concerning the present application to:

Platon N. Mandros  
 Burns, Doane, Swecker & Mathis, L.L.P.  
 P.O. Box 1404  
 Alexandria, Virginia 22313-1404.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in triplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

Date: October 27, 1998

By: William C. Rowland  
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**PRINTING SYSTEM**

This application is based on application No. 9-300181 filed in Japan on October 31, 1997, the content of which is hereby incorporated by reference.

5

**BACKGROUND OF THE INVENTION**

1. Field of the Invention

The present invention relates to a network type print system in which a plurality of data processing devices are connected with printers via a print server.

10 2. Description of Related Art

In a general office automation (OA) network, a plurality of computers share printers. Multiple apparatus capable of copying or facsimile communication may be used as a printer. A print server for 15 collectively administrating print jobs is provided, especially in a large scale network. As to printer selection in a network to which two or more printers are connected, the following types may be used: the type that each computer is fixedly allotted a printer, the type that a user designates a printer to be used at 20 every print job, and the type that the print server

automatically adapts each printer by a print job unit in accordance with the use condition of the printers.

Japanese laid-open patent application No.

HEI6-247013 discloses a print server providing the  
5 expected print start time in response to an inquiry by a computer.

Conventionally, in spite of the concentration of print jobs to a specific printer, a computer operator may send a print job to that printer, which requires the  
10 operator to wait for print start for a long time. The operator who sees the print condition displayed on a computer in response to a print request, may then require the print job be sent again to another printer instead of the previously requested printer. As a  
15 result, printing is duplicated in some cases which wastes paper and toner.

#### OBJECTS AND SUMMARY

Considering the aforesaid circumstances, the present invention aims to provide an improved print  
20 system.

Another object of the present invention is to provide a print system capable of executing efficient print operation.

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Still another object of the present invention is to provide a print system convenient for use, by which a user is able to select a printer or postpone printing confirming the use condition of printers.

5 To accomplish the aforesaid and other objects, the print system of the present invention includes a plurality of printers, a plurality of clients which are data processing devices using these printers, and a print server which collectively administrates the use of  
10 said printers. The aforesaid print server notifies the use condition of said printers simultaneously to all clients in a log-in state. Each of said clients displays the use condition notified by said print server. Accordingly, each client always displays, for  
15 example, the use condition of all printers permitted to use, irrespective of the presence of print request. The use condition includes operation condition, trouble condition and waiting time for new printing. A user of the print system who operates a client is able to take  
20 an appropriate process, such as designating the printer of the shortest waiting time to request printing or postponing printing, thereby excessive concentration of print requests is prevented.

BRIEF DESCRIPTION OF THE DRAWINGS

These and other objects and features of the present invention will become apparent from the following description of a preferred embodiment thereof taken in 5 conjunction with the accompanying drawings, in which:

Fig. 1 is a view of a print system,

Fig. 2 is an illustration showing an example of a printer condition screen,

10 Figs. 3(A), 3(B) and 3(C) show the summary of a waiting time display procedure ,

Figs. 4(A), 4(B) and 4(C) show a client registration procedure,

Figs. 5(A), 5(B) and 5(C) show a server registration procedure,

15 Fig. 6 is a flowchart showing an operation control procedure of a client, and

Fig. 7 is a flowchart showing an operation control procedure of a server.

20 In the following description, like parts are designated by like reference numbers throughout the several drawings.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

Fig. 1 is an illustration of a print system 1.

Fig. 2 is an illustration showing an example of a printer condition screen Q1.

The print system 1 is a part of an OFFICIAL ACTION  
5 network which intercommunicatably connects a plurality  
of data processing devices including computers 11, 12  
and 13, and provides four printers 31, 32, 33 and 34 and  
a print server 20 for collectively administrating the  
printers 31 to 34. All the printers 31 to 34 are  
10 connected with a network cable 40 via the print server  
20. The printers 31 to 34 may incorporate a network  
interface card (NIC) therein to be directly connected  
with the network cable 40. The print server 20 may be  
either a device for exclusive use thereof or a  
15 multi-function device having both the server function  
and the client function. The printer server 20 may be  
incorporated in the printer 31.

A print spooler U21 for administrating the schedule  
of the printers 31 to 34 as well as a job observation  
20 stationary module U22 for grasping the use condition of  
the printers 31 to 34 are incorporated in the print  
server 20. A status monitor U10 for displaying the  
printer condition screen Q1 is incorporated in all the  
computers 11 to 13 as clients using the printers 31 to

34. The job observation stationary module U22 and the status monitor U10 are characteristic utilities of the present invention, and link with each other to display the use condition of the printers 31 to 34 on real time.

5       The printer condition screen Q1 in Fig. 2 displays the operational condition (status) and the time required to complete a print job during printing or waiting for printing (waiting time) concerning all the printers 31 to 34 as a list. Operators of the computers 11 to 13  
10      are able to optionally change the display position of the printer condition screen Q1 on the display, and to close the window of the printer condition screen Q1 if unnecessary or desired.

15      Figs. 3(A), 3(B) and 3(C) show the summary of the waiting time display. The case described hereinafter has two computers 11 and 12 as clients and only one printer is used.

20      Two print jobs are inputted to the print server 20 in the step of Fig. 3(A). For example, if the first print job during printing is expected to be completed after five minutes and the second print job waiting for printing is expected to require one minute, the waiting time is "six minutes". Use condition data Dst including this waiting time is sent to the computers (clients) 11

and 12 from the print server 20 simultaneously, and the status monitor U10 of each computer 11 and 12 displays "six minutes" as the waiting time. If the third print job is inputted by one computer 11 in the aforesaid 5 state as shown in Fig. 3(B), the job observation stationary module U22 of the print server 20 calculates the required time. If the calculated value is "3 minutes", the waiting time is "nine minutes". The latest waiting time is simultaneously notified to the 10 computers (clients) 11 and 12, and the waiting time display of the printer condition screen Q1 is updated from "six minutes" to "nine minutes". When the printing of the first print job is completed thereafter, the new waiting time ("four minutes"), obtained by deducing the 15 time required for the first print job, is simultaneously used to update the contents of the printer condition screen Q1 as shown in Fig. 3(C).

The waiting time does not need to be calculated by print job units. For example, the advancing condition 20 of printing may be minutely observed by a predetermined page numbers unit to update the waiting time display one after another during the printing of one print job. With such a control, even in the condition that the great part of the print jobs are the ones of a large

number of pages, the gap between the displayed waiting time and the actual waiting time is able to be minimized. However, it is to be noted that minute display update may cause overcrowding of the network.

5 Figs. 4(A), 4(B) and 4(C) show client registration.

In the print system 1, the print server 20 sends the use condition data Dst to the clients that are physically and logically connected therewith. Thus, when a computer is newly connected with the network, or 10 when power is turned on, the corresponding computer must register with the print server 20.

The computers 11 and 13 are operating and the computer 12 is not operating in the step of Fig. 4(A). A client administration file F1 is provided in the print 15 server 20, and the computers 11 and 13 during operation are registered thereto as clients. When the power of the computer 12 is turned on, the computer 12, which newly starts operation, outputs a registration request signal RQ1 to the print server 20 as shown in Fig. 4(B).  
20 In response thereto, the print server 20 accepts the computer 12 as a client and updates the client administration file F1. Thereafter, the use condition data Dst is sent to the three computers 11, 12 and 13 to

display the printer condition screen Q1 of the same contents on all computers 11 to 13.

Figs. 5(A), 5(B) and 5(C) show server registration.

Even in an OA network operating all day, the use of 5 the printers 31 to 34 is mostly limited to a certain time slot during the day according to the convenience of a paper supplier and the like. Namely, the power of the printers 31 to 34 are off during the night in general.

Accordingly, in some cases, not only the printers 31 to 10 34 but also the print server 20 do not operate. Fig. 5(A) shows the condition that the computers 11 to 13 are operating, but the printers 31 to 34 and the print server 20 are not operating.

When the power of the print server 20 is turned on, 15 the print server 20 outputs server registration request signals RQ2 to the network as shown in Fig. 5(B). In response thereto, each computer 11 to 13 outputs a registration request signal RQ1 to the print server 20 at an appropriate timing. The print server 20 accepts 20 the computers 11 to 13 which output the registration request signal RQ1, as described above, as clients, and writes client discrimination information to the client administration file F1. Then, the use condition data Dst is sent to the three computers 11, 12 and 13 to

display the printer condition screen Q1 of the same  
contents on all computers 11 to 13. On this occasion,  
if the power of the printers are not turned on, the  
display showing that the printers are unavailable is  
5 displayed.

Fig. 6 is a flowchart of the operation of the  
client computer.

When the status monitor U10 is started by the  
operating system (OS), the status monitor U10 requests  
10 the client to register with the job observation  
stationary module U22 of the print server 20 at first in  
step #101. Then, the status of the network is observed  
in step #102, and the following procedure corresponding  
to the status is executed.

15 If an acceptance response to the client  
registration request is outputted, the registration  
condition of the print server 20 is updated and the  
printer condition screen Q1 is displayed as a monitor  
screen in steps #103 and #104. In the case that the  
20 server starts to operate later than the clients and the  
server registration request is outputted as described  
above, the client registration request signal is  
outputted in response to that request in steps #105 and  
#106. When the use condition data Dst is received by

the clients, the contents of the printer condition screen Q1 are updated in steps #107 and #108. If a request is sent from a client to remove the client from the system, the registration in the print server 20 is 5 deleted in steps #109 and #110. When a completion operation for designating shutdown of the power source is performed, client registration delete request is outputted to complete the process in steps #111 and #112.

10 Fig. 7 is a flowchart of the operation of the server.

When the job observation stationary module U22 is started by OS, it outputs the server registration request RQ2 at first in step #201. Then, the status of 15 the network is observed in step #202, and the following process corresponding to the event is executed.

If client registration request RQ2 is outputted, the client administration file F1 is updated to notify the effect of the acceptance to the status monitor U10 20 in steps #203 and #204. When a print job is received, the time required for printing is calculated and the print job is transferred to the print spooler U21 to simultaneously notify the use condition in steps #205 to #208. In calculating the time required for printing, a

data base is used which is prepared in advance and which specifies processing time for every page description.

As to image data such as text data or bit map data, the required times for every data size unit are added up.

- 5 When the notification of the completion of one print job is received from the print spooler U21 in step #209, the completed print job is excluded from the required time calculation to calculate the required time again in step #210, and the calculation result is simultaneously
- 10 notified to the clients which are physically and logically connected and in the log-in state in step #211. If the client registration delete request is outputted, the registration of the corresponding client is deleted in steps #212 and #213. If the completion
- 15 operation for designating the shutdown of the power source is executed, the server registration delete request is outputted to complete the process in steps #214 and #215.

- 20 In the aforesaid embodiment, a plurality of print servers 20 may be provided to divide the administration of the printers 31 to 34. In this case, only the servers 20 which output the server registration request accept the client registration request of the computers 11 to 13 which responds to the server registration

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request, and the other printer servers 20 ignore the client registration request. The number of clients and the number of printers are not limited to those of the embodiment.

5        Although the present invention has been fully described by way of examples with reference to the accompanying drawings, it is to be noted that various changes and modifications will be apparent to those skilled in the art. Therefore, unless such changes and  
10      modifications depart from the scope of the present invention, they should be construed as being included therein.

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1       1. A print system, comprising:  
2            a print server;  
3            at least one printer connected to the print server;  
4            a plurality of computers connected to the print  
5        server;  
6            the print server includes a job observation  
7        stationary module for monitoring the status of the  
8        printer connected to the print server; and  
9            each of the computers includes a status monitor for  
10      displaying the status of the printer connected to the  
11      print server.

1       2. The print system of claim 1, further comprising a  
2        plurality of printers connected to the print server.

1       3. The print system of claim 2, further comprising  
2        means for a user of one of the plurality of computers to  
3        designate a particular one of the plurality of printers  
4        for a particular print job.

1       4. The print system of claim 1, further comprising  
2        means for a user of one of the plurality of computers to  
3        postpone a particular print job.

1       5. The print system of claim 2, further comprising  
2       means for a user of one of the plurality of computers to  
3       postpone a particular print job.

1       6. The print system of claim 1, wherein the status  
2       monitor of each of the plurality of computers includes  
3       means for displaying an operating condition, a trouble  
4       condition, and a waiting time for the printer.

1       7. The print system of claim 1, wherein the print  
2       server includes means for sending a registration request  
3       to each of the plurality of computers.

1       8. The print system of claim 7, wherein each of the  
2       plurality of computers includes means for sending a  
3       registration signal to the print server in response to  
4       the registration request.

1       9. The print system of claim 1, wherein each of the  
2       plurality of computers includes means for sending a  
3       registration signal to the print server.

1 10. The print system of claim 1, wherein the print  
2 server includes means for calculating a waiting time for  
3 availability of the printer.

1 11. A method of controlling a print system, comprising  
2 the steps of:

3 monitoring a status of at least one printer with a  
4 print server;

5 sending the status of the printer to a plurality of  
6 computers connected to the print server; and

7 displaying the status of the printer connected to  
8 the print server.

1 12. The method of claim 11, wherein the monitoring step  
2 includes monitoring a status of a plurality of printers  
3 with the print server.

1 13. The method of claim 12, further comprising the step  
2 of designating a particular one of the plurality of  
3 printers for a particular print job.

1 14. The method of claim 11, further comprising the step  
2 of postponing a particular print job.

1 15. The method of claim 11, further comprising the step  
2 of displaying on at least one of the plurality of  
3 computers an operating condition, a trouble condition,  
4 and a waiting time for the printer.

1 16. The method of claim 12, further comprising the step  
2 of displaying on at least one of the plurality of  
3 computers an operating condition, a trouble condition,  
4 and a waiting time for each of the plurality of  
5 printers.

1 17. The method of claim 11, further comprising the step  
2 of sending a registration request from the print server  
3 to each of the plurality of computers.

1 18. The method of claim 17, further comprising the step  
2 of sending a registration signal from at least one of  
3 the plurality of computers to the print server in  
4 response to the registration request.

1 19. The method of claim 11, further comprising the step  
2 of sending a registration signal from at least one of  
3 the plurality of computers to the print server.

1 20. The method of claim 11, further comprising the step  
2 of calculating a waiting time for availability of the  
3 printer.

1 21. The method of claim 12, further comprising the step  
2 of calculating a waiting time for availability of each  
3 of the plurality of printers.

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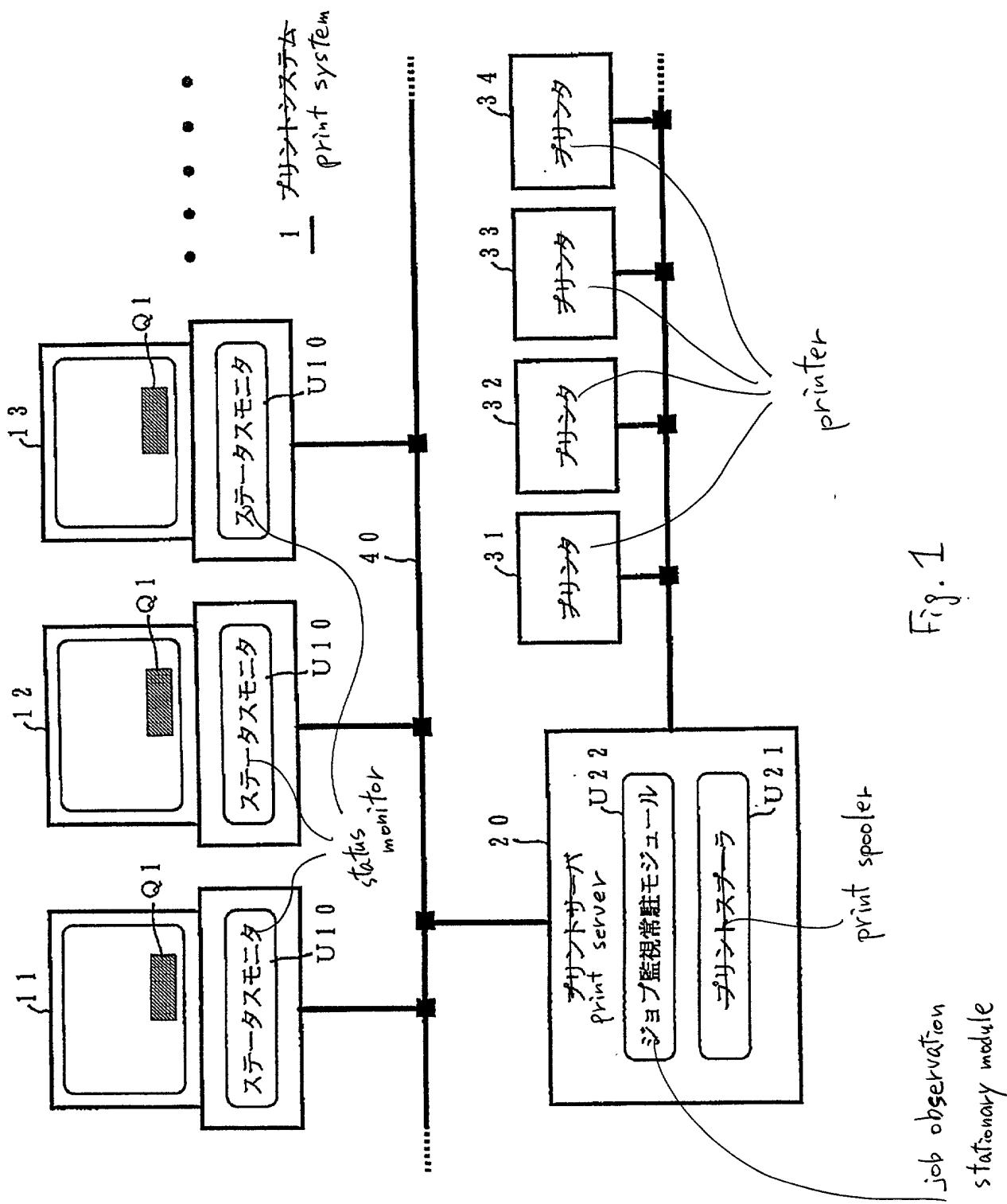
### Abstract of the Disclosure

A print system and method of using includes a print server; at least one printer connected to the print server; a plurality of computers connected to the print server; the print server includes a job observation stationary module for monitoring the status of the printer connected to the print server; and each of the computers includes a status monitor for displaying the status of the printer connected to the print server. The method includes monitoring a status of a printer with a print server; sending the status of the printer to a plurality of computers connected to the print server; and displaying the status of the printer connected to the print server.

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【書類名】 図面

【図1】



【図2】

printer name  
waiting time  
status

Q 1

プリンタ名	待ち時間	状態
プリンタ1	9分 min.	印刷中 printing
プリンタ2	20分 min.	印刷中 printing
プリンタ3	0分 min.	印刷可能 available
プリンタ4	0分 min.	用紙切れ out of paper

printer

display for printer status

Fig. 2

図 3】

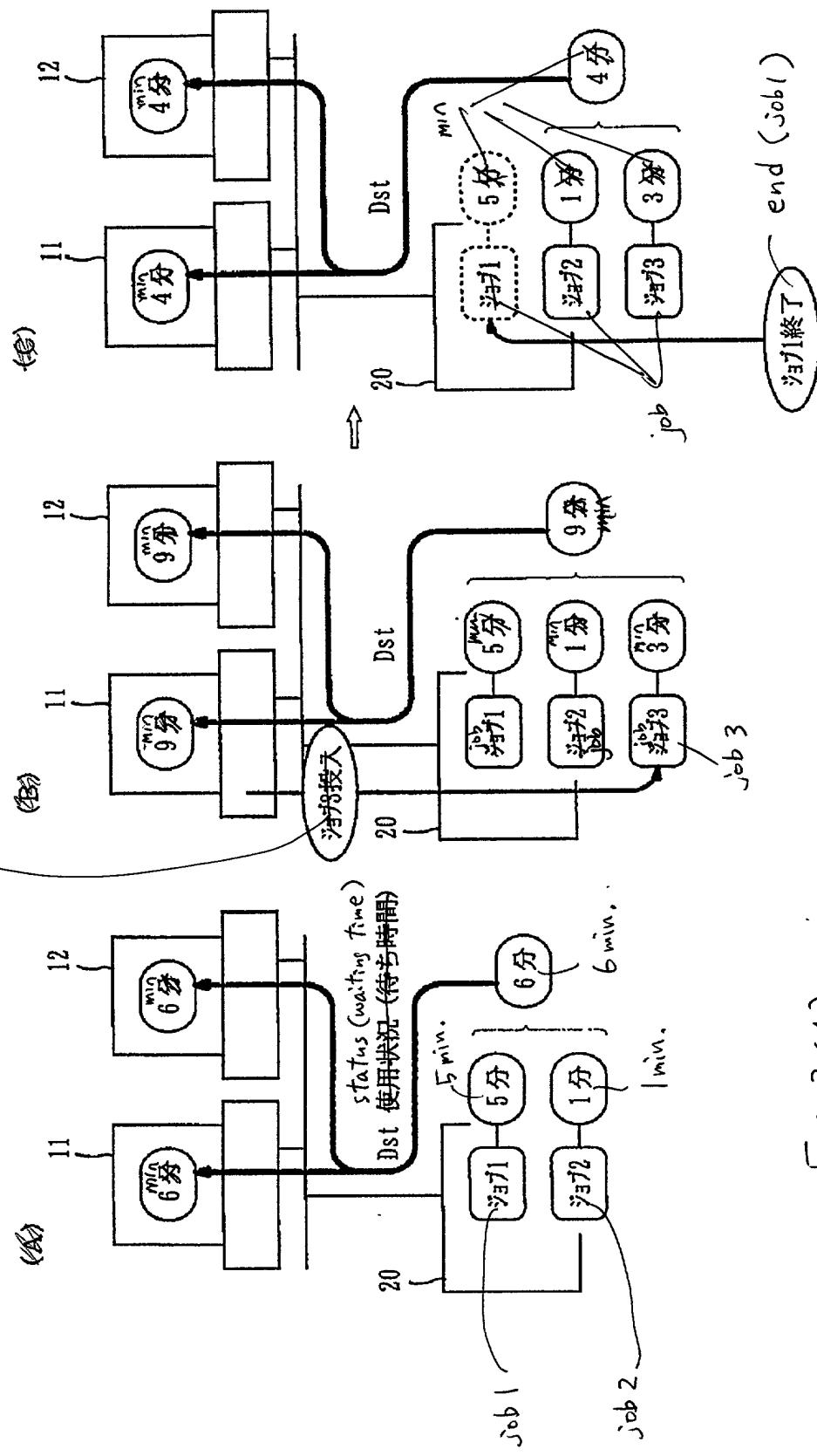


Fig. 3(A)

Fig. 3(B)

Fig. 3(C)

【図4】

(A)

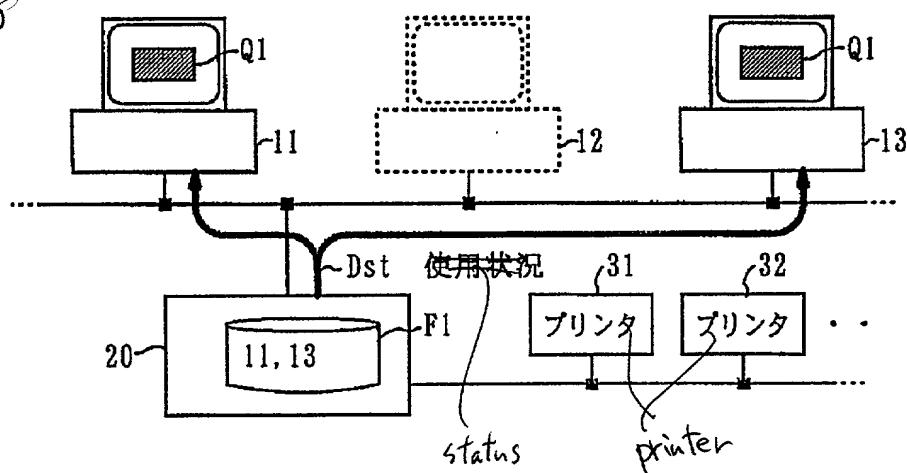


Fig. 4 (A)

(B)

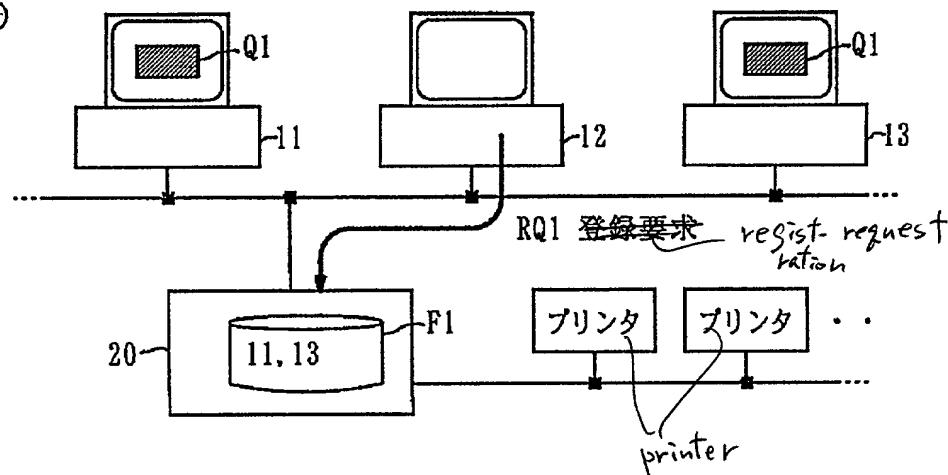


Fig. 4 (B)

(C)

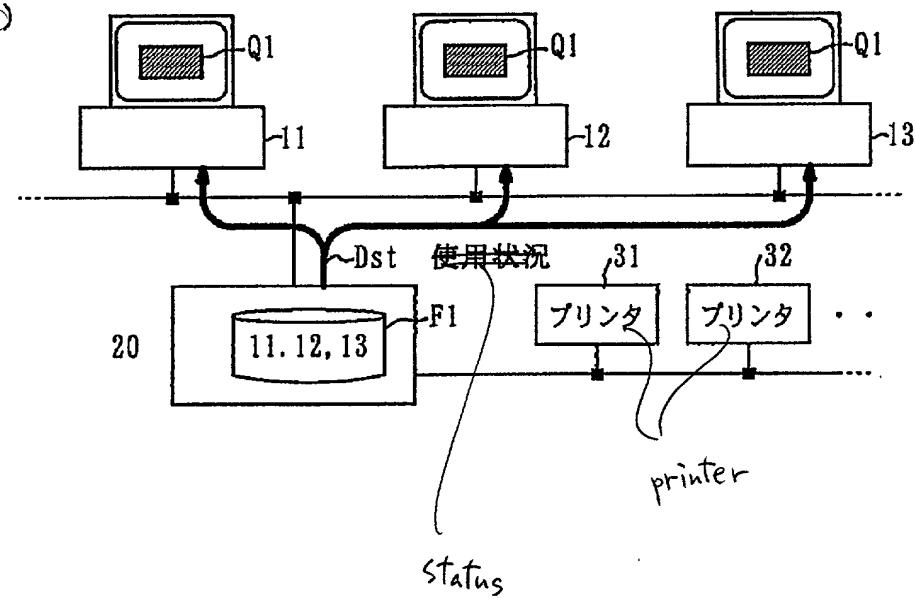


Fig. 4 (C)

[図5]

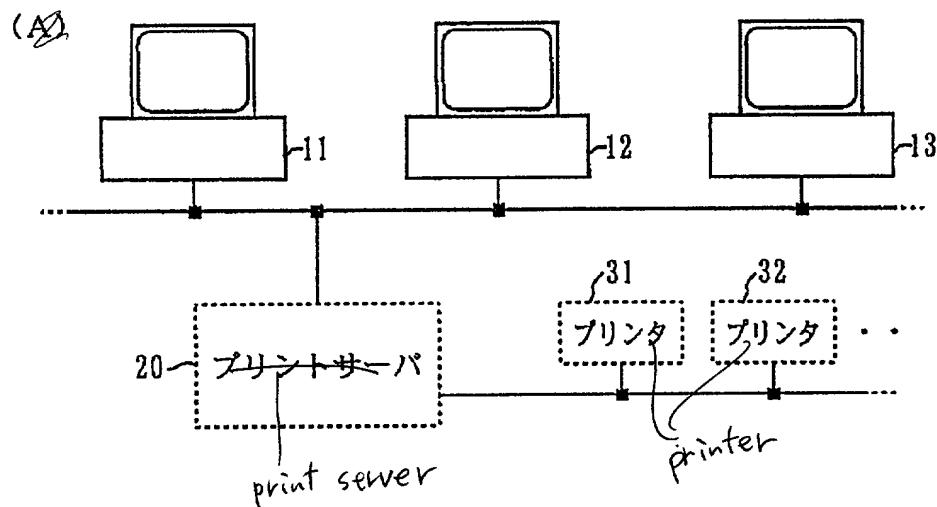


Fig. 5(A)

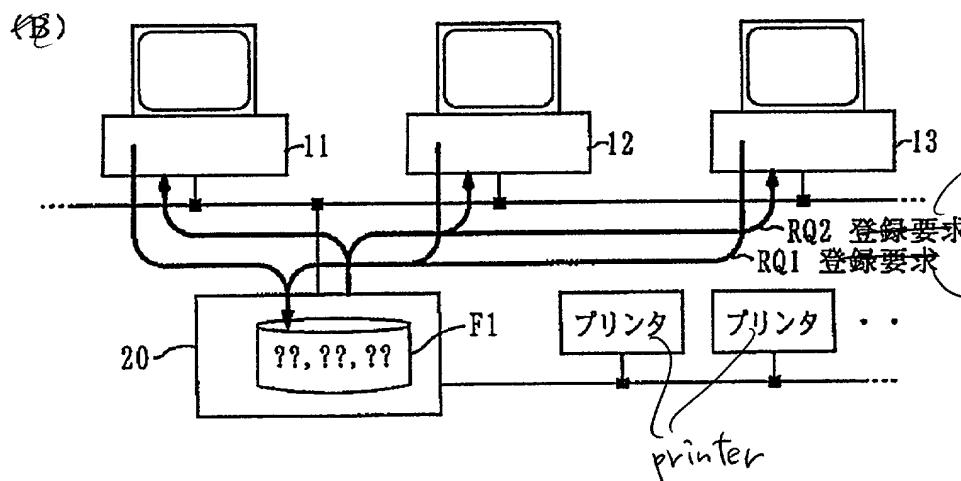


Fig. 5(B)

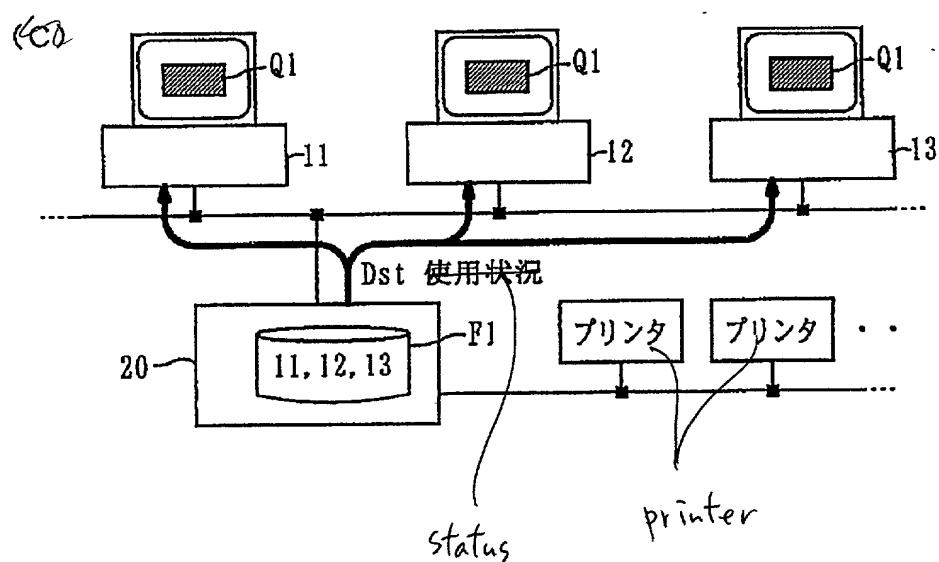


Fig. 5(C)

Fig. 6

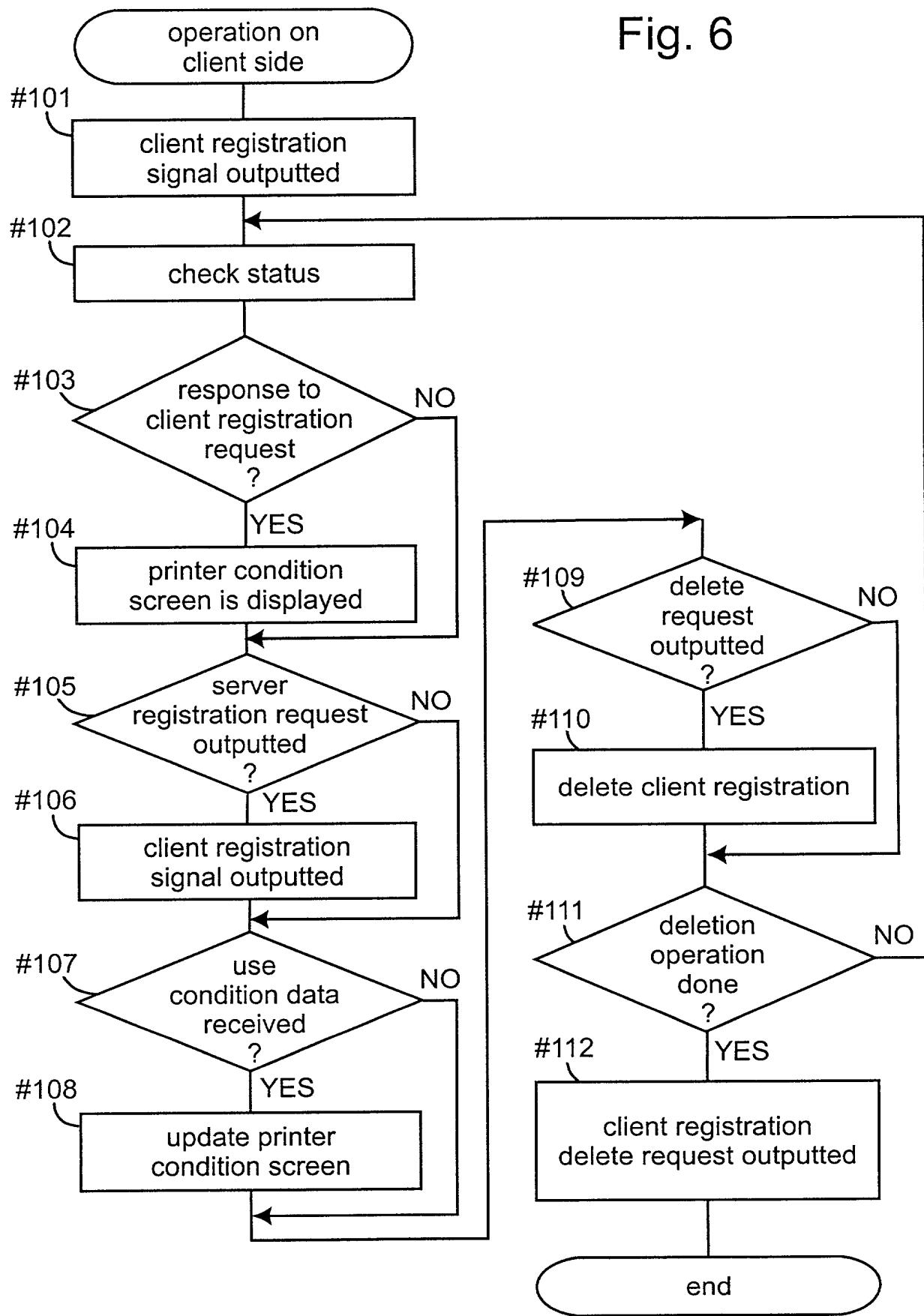
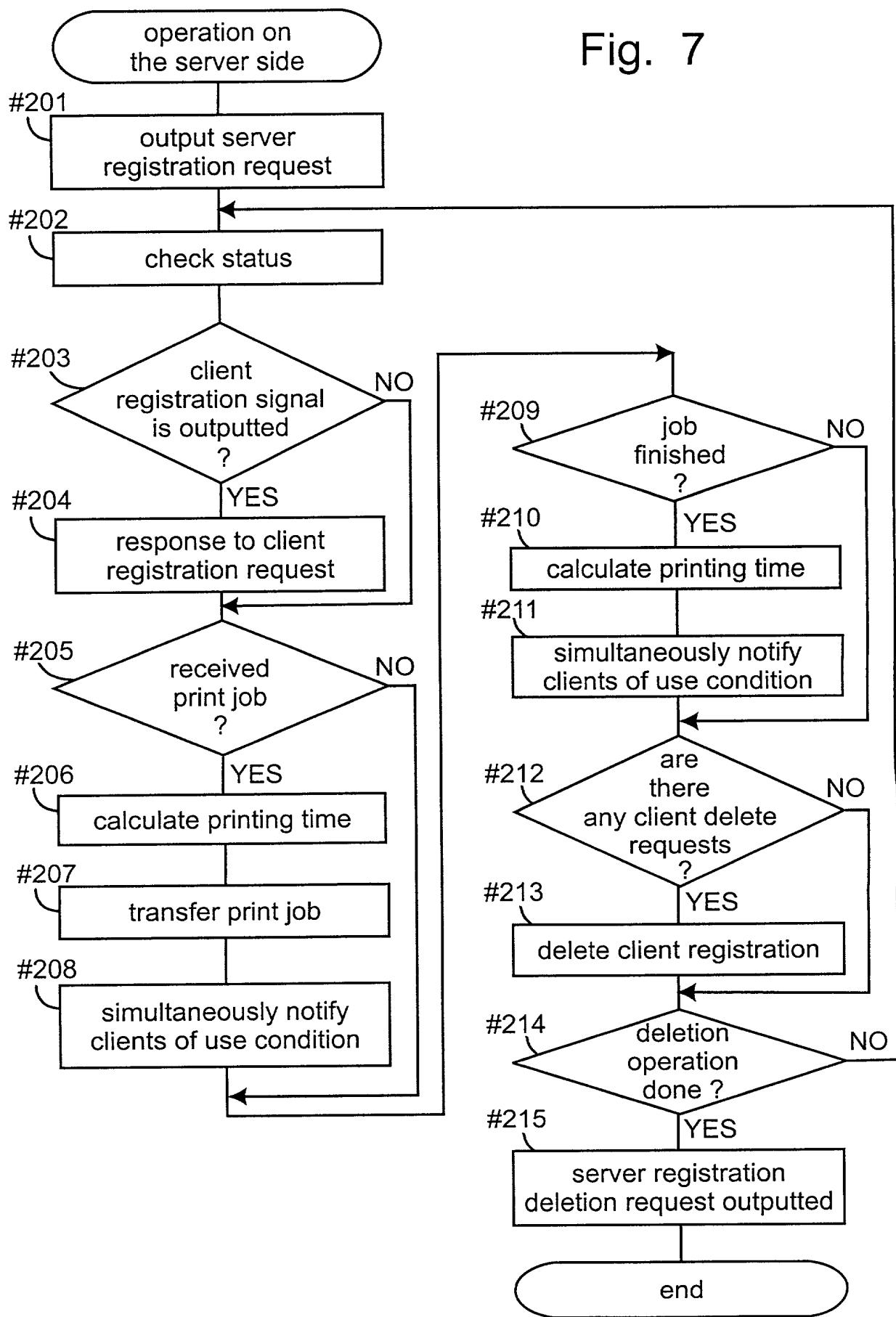


Fig. 7



**COMBINED DECLARATION AND POWER OF ATTORNEY  
FOR UTILITY PATENT APPLICATION**

Attorney's Docket No.  
018656-048

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I BELIEVE I AM THE ORIGINAL, FIRST AND SOLE INVENTOR (if only one name is listed below) OR AN ORIGINAL, FIRST AND JOINT INVENTOR (if more than one name is listed below) OF THE SUBJECT MATTER WHICH IS CLAIMED AND FOR WHICH A PATENT IS SOUGHT ON THE INVENTION ENTITLED:

PRINTING SYSTEM

the specification of which

(check one)  is attached hereto;  
 was filed on \_\_\_\_\_ as  
Application No. \_\_\_\_\_  
and was amended on \_\_\_\_\_;  
(if applicable)

I HAVE REVIEWED AND UNDERSTAND THE CONTENTS OF THE ABOVE-IDENTIFIED SPECIFICATION, INCLUDING THE CLAIMS, AS AMENDED BY ANY AMENDMENT REFERRED TO ABOVE;

I ACKNOWLEDGE THE DUTY TO DISCLOSE TO THE OFFICE ALL INFORMATION KNOWN TO ME TO BE MATERIAL TO PATENTABILITY AS DEFINED IN TITLE 37, CODE OF FEDERAL REGULATIONS, Sec. 1.56 (as amended effective March 16, 1992);

I do not know and do not believe the said invention was ever known or used in the United States of America before my or our invention thereof, or patented or described in any printed publication in any country before my or our invention thereof or more than one year prior to said application; that said invention was not in public use or on sale in the United States of America more than one year prior to said application; that said invention has not been patented or made the subject of an inventor's certificate issued before the date of said application in any country foreign to the United States of America on any application filed by me or my legal representatives or assigns more than twelve months prior to said application;

I hereby claim foreign priority benefits under Title 35, United States Code Sec. 119 and/or Sec. 365 of any foreign application(s) for patent or inventor's certificate as indicated below and have also identified below any foreign application for patent or inventor's certificate on this invention having a filing date before that of the application(s) on which priority is claimed:

<b>COMBINED DECLARATION AND POWER OF ATTORNEY</b>			Attorney's Docket No. 018656-048
<b>COUNTRY/INTERNATIONAL</b>	<b>APPLICATION NUMBER</b>	<b>DATE OF FILING (day, month, year)</b>	<b>PRIORITY CLAIMED</b>
JAPAN	09-300181	31 October 1997	YES <u>x</u> NO _____
			YES _____ NO _____

I hereby appoint the following attorneys and agent(s) to prosecute said application and to transact all business in the Patent and Trademark Office connected therewith and to file, prosecute and to transact all business in connection with international applications directed to said invention:

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Regis E. Slutter	26,999	T. Gene Dillahunt	25,423		
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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

FULL NAME OF SOLE OR FIRST INVENTOR Yoshinori SUGAHARA	SIGNATURE	DATE
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